Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 18920.0040

First Inventor Toshio Sakai

Title BIPED TOY THAT CAN WALK ON TWO FEET DOCKET

From S. Mail Label No. 2006

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) a. Computer Readable Form (CRF) з. 🖂 Specification [Total Pages b. Specification Sequence Listing on: (preferred arrangement set forth below) - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, **ACCOMPANYING APPLICATIONS PARTS** or a computer program listing appendix - Background of the Invention 9. 🛛 Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement | Power of - Detailed Description (when there is an assignee) - Claim(s)
- Abstract of the Disclosure 11. English Translation Document (if applicable) Copies of IDS 12. Information Disclosure 4. 🔯 Drawing(s) (35 U.S.C.113) (Total Sheets Statement (IDS)/PTO-1449 Citations [Total Pages 3 5. Oath or Declaration 13. \square **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: __ Prior application information: Examiner . Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 23517 or

Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Edward A. Pennington, Esq. Name Swidler Berlin Shereff Friedman, LLP 3000 K Street, N.W. Address Suite 300 Citv Washington D.C. 20007-5116 State Zip Code Country US Telephone 202 424-7500 202 295-8478 Name (Print/Type) Sean P. O'Hanlon Registration No. (Attorney/Agent) 47,252 October 10, 2003 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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	ANIC	`	A I		Complete if Known							
		SMITT	AL	App	Application Number			To be assigned				
	FY 2	2004		Fili	Filing Date			October 10, 2003				
				Firs	First Named Inventor			Toshio Sakai				
Effective	nt fees are	subject to annua	l revision.	Exa	Examiner Name			To be assigned				
☐ Applicant claims small entity status. See 37 CFR 1.27							Art Unit			To be assigned		
TOTAL AMOUNT OF PAYMENT (\$) 770							Attorney Docket No. 18920.0040					
	MENT (c)	neck all that apply	 i	n	FEE CALCULATION (continued)							
				3. AE	3. ADDITIONAL FEES							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order							arge Entity Small Entity					
☑ Deposit Ac	count:					Fee	Fee	Fee	Fee			
Deposit	Deposit						(\$)	Code	(\$)	Fee Description Fee Paid	.	
Account	19-5	5127				1051	130	2051	65	Surcharge - late filing fee or oath	11	
Number						1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Swidler Berlin Shereff Friedman, LLP						1053	130	1053	130	Non-English specification		
Name	Swit	nei bein	i Sheren Fr	leoman, LLP		1812 1804	2,520	1812	2,520	For filing a request for reexamination		
The Director is authorized to: (check all that apply)							920*	1804	920*	Requesting publication of SIR prior to Examiner action		
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application							1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-id		for the filing fe	е	1251	110	2251	55	Extension for reply within first month				
to the above-ia	CALCULA	TION		1252	420	2252	210	Extension for reply within second month				
1. BASIC	FILING	FEE				1253	950	2253	475	Extension for reply within third month		
Large Entity Fee Fee	rge Entity Small Entity						1,480	2254	740	Extension for reply within fourth month		
Code (\$)	Code	(\$)	10000	Сприон	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month		
1001 770	2001	385	Utility fili	ng fee	770	1401	330	2401	165	Notice of Appeal		
1002 340	2002	170	Design f	iling fee		1402	330	2402	165	Filing a brief in support of an appeal	П	
1003 530	2003	265	Plant filia	ng fee		1403	290	2403	145	Request for oral hearing	IJ	
1004 770 1005 160	2004 2005	385 80	Reissue	filing fee nal filling fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1 1000	2000	00	1 10413101	iai iiiiig iee		1452	110	2452	55	Petition to revive – unavoidable] [
	AL (1)		(\$) 770	1453	1,330	2453	665	Petition to revive – unintentional] [
O EVEDA O	>>	TO AND DEIG		1501	1,330	2501	665	Utility issue fee (or reissue)				
Z. EXIKAC	OR UTILI Extra	ITY AND REIS		1502	480	2502	240	Design issue fee	↓ 			
	Claims	Fee from below	Fee Paid	1503 1460	640	2503	320	Plant issue fee	↓ 			
Total Claims 2 -20 ** = 0 X 18 = 0							130	1460	130	Petitions to the Commissioner	↓ 	
Independent 1 -3 ·· = 0 X 86 = 0							50	1807	50	Processing fee under 37 CFR 1.17 (q)	4 I	
Multiple		ء	0	X 86	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt		
Dependent 0 X 290 = 0						8021	40	8021	40	Recording each patent assignment per property (times number of properties)		

**or number previous	SUBTOTAL (2)	(\$) 0 see above	*Reduced by Basic Filing Fee	OTAL (3) (\$) 0	(\$) 0	
SUBMITTED BY				С	omplete (if applicable)	
Name (Print/Type)	Sean P. O'Hanlon	Registration No. (Attorney/Agent)	47,252	Telephone	202 424-7500	
Signature	U. PC	340		Date	October 10, 2003	

770

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900

Other fee (specify)

Fee

Code

2202

2201

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145

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18

86

290

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Code

1202

1201

1203

1204

1205

Fee Description

original patent

over original patent

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

** Reissue independent claims over

** Reissue claims in excess of 20 an

2809

2810

2801

1802

385

385

385

900

Filing a submission after final rejection

For each additional invention to be

Request for Continued Examination (RCE)

examined (37 CFR § 1.129(b))

Request for expedited examination

(37 CFR § 1.129(a))

of a design application

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